SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] ZEGRAS GREGG				suer Name and Ticker	INC	/ĎE	<u>/</u> [PBI]		k all applicable) Director Officer (give title	g Person(s) to Issuer 10% Owner Other (specify				
(Last) 3001 SUMMER	(First) R STREET	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/09/2021						below) Exec. VP & P, C	below Global Ecomm	′		
(Street) STAMFORD CT 06926			4. If <i>F</i>	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indi Line) X	,				
(City)	(State)	(Zip)							Person					
		Table I - Nor	n-Derivative	Securities Acq	uired,	Dis	posed of, o	or Ben	eficially	Owned				
Date			2. Transaction Date (Month/Day/Year	r) 2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock		02/09/2021		М		32,196	A	\$0.00	52,075.4082	D				

Common	Common Stock			02/09/2021					м 32,19		\$0.00) 52,07	5.4082	D	
Common Stock				02/09/2021				F	11,91	1 D	\$0.00	40,16	4.4082	D	
			Table II - Deri (e.g					luired, Dis s, options,				Owned			
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr.		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownershi Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	(1)	02/09/2021		М			5,769	02/09/2021 ⁽²⁾	(2)	Common Stock	5,769	\$0.00	0.00	D	
Restricted Stock Unit	(1)	02/09/2021		М			8,839	02/09/2021 ⁽³⁾	(3)	Common Stock	8,839	\$0.00	8,838	D	
Restricted	(1)	02/00/2021					17 500	02/02/2021(4)	(4)	Common	17 500		25.176		

17,588 02/09/2021⁽⁴⁾

Explanation of Responses:

(1)

1. Each restricted stock unit represents a contingent right to receive one share of Pitney Bowes stock.

02/09/2021

2. The third vesting of the three traunches vesting.

3. The second vesting of the three traunches vesting.

4. The first vesting of the three traunches vesting

Remarks:

Stock Units

/s/ Gregg Zegras ** Signature of Reporting Person

17,588

\$0.00

Common

Stock

(4)

02/10/2021

35,176

D

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.